

04-05-01

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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**NEW UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(only for new nonprovisional applications under

37 CFR 1.53(b))

Attorney Docket Number	22606-05796
First Named Inventor	Anthony Aquila
Title	System and Method of Administering Tracking and Managing of Claims Processing
Express Mail Label No.	EL482475797US

**APPLICATION ELEMENTS**

1. ☒ Fee Transmittal Form (in duplicate)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27
3. ☒ Specification Total Pages 85  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference(s) to Related Case(s)
  - Statement Regarding Fed sponsored R & D
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawing(s)
  - Detailed Description
  - Claim or Claims
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets 34
5. Oath or Declaration
  - a. ☐ New Declaration Total Pages   
☐ Executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ACCOMPANYING APPLICATION PARTS**

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
9. ☐ Power of Attorney or Authorization of Agent
10. ☐ 37 CFR 3.73(b) Statement
11. ☐ Preliminary Amendment
12. ☐ Information Disclosure Statement & PTO-1449  
☐ Copies of IDS Citation(s)
13. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
14. ☒ Return Postcard
15. ☐
16. ☐
17. ☐

**ADDRESS TO:**

**Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231**

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_/\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

☒ Customer Number and Bar Code Label

**00758**

Name (Print/Type)	Geoffrey W. Lin	Registration No. (Attorney/Agent)	46,874
Signature		Date	4/3/01

0002/PTO(modified) Rev. 10/2000	U.S. Department of Commerce Patent and Trademark Office	<b>Complete if Known</b>
<b>FEE TRANSMITTAL</b>		Application Number _____ Filing Date <u>April 3, 2001</u> First Named Inventor <u>Anthony Aquila</u> Group Art Unit _____ Examiner Name _____ Attorney Docket Number <u>22606-05796</u>
<b>TOTAL AMOUNT OF PAYMENT</b> Subtotal (1) + Subtotal (2) + Subtotal (3) = <b>(\$635.00)</b>		

<b>METHOD OF PAYMENT</b>	<b>FEE CALCULATION (continued)</b>
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<b>1. The Commissioner is hereby authorized to:</b>  <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.  <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †  <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27  Deposit Account Number: <u>19-2555</u> Deposit Account Name: <u>FENWICK &amp; WEST LLP</u>  A Duplicate Copy of this authorization is attached  <b>2. <input checked="" type="checkbox"/> Payment Enclosed:</b> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other	<b>3. ADDITIONAL FEES</b> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code/Fee</th> <th style="text-align: left;">Small Entity Fee Code/Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Due</th> </tr> </thead> <tbody> <tr><td>105/\$130</td><td>205/\$65</td><td>Surcharge - late filing fee or oath</td><td style="text-align: right;">[ ]</td></tr> <tr><td>127/\$50</td><td>227/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td style="text-align: right;">[ ]</td></tr> <tr><td>147/\$2,520</td><td>147/\$2,520</td><td>For filing a request for reexamination</td><td style="text-align: right;">[ ]</td></tr> <tr><td>115/\$110</td><td>215/\$55</td><td>Extension for response within first month†</td><td style="text-align: right;">[ ]</td></tr> <tr><td>116/\$390</td><td>216/\$195</td><td>Extension for response within second month†</td><td style="text-align: right;">[ ]</td></tr> <tr><td>117/\$890</td><td>217/\$445</td><td>Extension for response within third month†</td><td style="text-align: right;">[ ]</td></tr> <tr><td>118/\$1,390</td><td>218/\$695</td><td>Extension for response within fourth month†</td><td style="text-align: right;">[ ]</td></tr> <tr><td>128/\$1,890</td><td>228/\$945</td><td>Extension for response within fifth month†</td><td style="text-align: right;">[ ]</td></tr> <tr><td>119/\$310</td><td>219/\$155</td><td>Notice of Appeal</td><td style="text-align: right;">[ ]</td></tr> <tr><td>141/\$1,240</td><td>241/\$620</td><td>Petition to revive unintentionally abandoned application</td><td style="text-align: right;">[ ]</td></tr> <tr><td>142/\$1,240</td><td>242/\$620</td><td>Utility Issue Fee (Or Reissue)</td><td style="text-align: right;">[ ]</td></tr> <tr><td>143/\$440</td><td>243/\$220</td><td>Design Issue Fee</td><td style="text-align: right;">[ ]</td></tr> <tr><td>122/\$130</td><td>122/\$130</td><td>Petitions to the Commissioner</td><td style="text-align: right;">[ ]</td></tr> <tr><td>126/\$180</td><td>126/\$180</td><td>Submission of Information Disclosure Statement</td><td style="text-align: right;">[ ]</td></tr> <tr><td>179/\$710</td><td>279/\$355</td><td>Request for Continued Examination (RCE)</td><td style="text-align: right;">[ ]</td></tr> <tr><td>581/\$40</td><td>581/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td style="text-align: right;">[ ]</td></tr> <tr><td>146/\$710</td><td>246/\$355</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td style="text-align: right;">[ ]</td></tr> <tr><td>149/\$710</td><td>249/\$355</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td style="text-align: right;">[ ]</td></tr> <tr><td colspan="3">Other fee (specify):</td><td style="text-align: right;">[ ]</td></tr> <tr><td colspan="3">Other fee (specify):</td><td style="text-align: right;">[ ]</td></tr> <tr> <td colspan="3" style="text-align: right;"><b>SUBTOTAL (3)</b></td> <td style="text-align: right;"><b>(\$0)</b></td> </tr> </tbody> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath	[ ]	127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	[ ]	147/\$2,520	147/\$2,520	For filing a request for reexamination	[ ]	115/\$110	215/\$55	Extension for response within first month†	[ ]	116/\$390	216/\$195	Extension for response within second month†	[ ]	117/\$890	217/\$445	Extension for response within third month†	[ ]	118/\$1,390	218/\$695	Extension for response within fourth month†	[ ]	128/\$1,890	228/\$945	Extension for response within fifth month†	[ ]	119/\$310	219/\$155	Notice of Appeal	[ ]	141/\$1,240	241/\$620	Petition to revive unintentionally abandoned application	[ ]	142/\$1,240	242/\$620	Utility Issue Fee (Or Reissue)	[ ]	143/\$440	243/\$220	Design Issue Fee	[ ]	122/\$130	122/\$130	Petitions to the Commissioner	[ ]	126/\$180	126/\$180	Submission of Information Disclosure Statement	[ ]	179/\$710	279/\$355	Request for Continued Examination (RCE)	[ ]	581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	[ ]	146/\$710	246/\$355	Filing a submission after final rejection (37 CFR 1.129(a))	[ ]	149/\$710	249/\$355	For each additional invention to be examined (37 CFR 1.129(b))	[ ]	Other fee (specify):			[ ]	Other fee (specify):			[ ]	<b>SUBTOTAL (3)</b>			<b>(\$0)</b>
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**FEE CALCULATION (fees effective 10/01/2000)**

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$710	201/\$355	Utility Filing	355
106/\$320	206/\$160	Design Filing	[ ]
108/\$710	208/\$355	Reissue	[ ]
114/\$150	214/\$75	Provisional Filing	[ ]
<b>SUBTOTAL (1)</b>			<b>(\$355)</b>

**2. CLAIMS**


Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$80	202/\$40	Independent claims in excess of 3
104/\$270	204/\$135	Multiple dependent claim
109/\$80	209/\$40	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

(Col. 1)		(Col. 2)		(Col. 3)					
For	No. of Existing Claims		Highest No. Previously Paid For		Extra**		Fee		Fee Due
TOTAL	14	minus*	20 or 0	=	0	x	9	=	0
INDEP	10	minus*	3 or 0	=	7	x	40	=	280
[ ] First presentation of multiple dependent claim									

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

**SUBTOTAL (2)** **(\$280)**

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Typed or Printed Name	<u>Geoffrey W. Lin</u>	Reg. Number	<u>46,874</u>
Signature		Date	<u>4/3/01</u>

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby